

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 

095309.57890US

ATTORNEY'S DOCKET NUMBER

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		
ľ		
PCT/EP2004/013263 23 November 2004 (23.11.2004) 23 December 2003 (23.12.2003)		
TITLE OF INVENTION  DEVICE COMPRISING A UNIT FOR ACTUATING A VARIABLE DRIVE UNIT OF A MOTOR VEHICLE		
APPLICANT(S) FOR DO/EO/US		
Jochen STRENKERT		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	$\boxtimes$	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
3.	$\boxtimes$	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.	$\boxtimes$	The US has been elected (Article 31).
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))
		a. is attached hereto (required only if not communicated by the International Bureau).
		b. As been communicated by the International Bureau.
		c. Is not required, as the application was filed in the United States Receiving Office (RO/US).
6.	$\boxtimes$	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
		a. 🔀 is attached hereto.
		b. has been previously submitted under 35 U.S.C. 154(d)(4).
7.	$\boxtimes$	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
		a. are attached hereto (required only if not communicated by the International Bureau).
		b. have been communicated by the International Bureau.
		c. have not been made; however, the time limit for making such amendments has NOT expired.
		d. have not been made and will not be made.
8.	$\boxtimes$	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
	Items	s 11 to 20 below concern document(s) or information included:
11.	$\boxtimes$	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.	$\boxtimes$	A preliminary amendment.
14.	$\boxtimes$	An Application Data Sheet under 37 CFR 1.76.
15.	$\boxtimes$	A substitute specification.
16.		A power of attorney and/or change of address letter.
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.	<b>⊠</b>	Other items or information: Four (4) sheets of drawings showing Figures 1, 2, 3 and 4; International Search Report; German Office Action and translation of relevant portions thereof; Six (6) non-US references; Forms PCT/IB/301 and PCT/IB/304; Front page of International Application (WO 2005/066476 A1); and Form PCT/IB/308

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## AP3 Rec'd PCT/PTO 23 JUN 2009

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if keywa, set 37 9 FP 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 095309.57890US PCT/EP2004/013263 CALCULATIONS PTO USE ONLY The following fees have been submitted Basic national fee (37 C.F.R. §1.492(a)).....\$300.00 \$300.00 Examination fee (37 C.F.R. §1.492(c)) 22 If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ......\$0.00 \$200.00 All other situations \$200.00 Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ......\$400 \$400.00 All other situations ... TOTAL OF 21, 22 AND 23 = \$900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) x 250 /50 =- 100 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$130.00 claimed priority date (37 CFR 1.492(h)). NUMBER FILED NUMBER EXTRA RATE \$ 50 Total claims 09 - 20 Independent claims 01 - 3 0 200 \$ X MULTIPLE DEPENDENT CLAIM(S) (if applicable) 360 \$ TOTAL OF ABOVE CALCULATIONS = \$0 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½ \$130.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$1,030.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property ...... TOTAL FEES ENCLOSED = \$1,030.00 Amount to be refunded: Amount to be charged: A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.57890US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.57890US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not d. be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. Rewarde SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group SIGNATURE Gary R. Edwards P.O. Box 14300 NAME Washington, D.C. 20044-4300 31,824 Tel. No. (202) 624-2500 REGISTRATION NUMBER Fax No. (202) 628-8844 June 23, 2006 GRE:kms 2793265\_1

## 10/584126 AP3 Rec'd PCT/PTO 23 JUN 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

:

Confirmation No. :

First Named Inventor

: Jochen STRENKERT

Filed

: June 22, 2006

TC/A.U.

Examiner

•

Docket No.

095309.57890US

Customer No.

23911

Title

: Device Comprising a Unit for Actuating a Variable Drive

Unit of a Motor Vehicle

## SUBMISSION OF SUBSTITUTE SPECIFICATION

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

June 22, 2006

Sir:

Attached are a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

Gary R. Edwards

Registration No. 31,824

CROWELL & MORING LLP Intellectual Property Group P.O. Box 14300 Washington, DC 20044-4300 Talanhana Na : (202) 624-250

Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

GRE:kms 2793236\_1